# Some Highly Computational Problems in Diophantine Number Theory

Peter Borwein

\_

http://www.cecm.sfu.ca/~pborwein.

July 2001

Abstract: A number of classical and not so classical problems in number theory concern finding polynomials with integer coefficients that are of small norm. These include some old chest-nuts like the Tarry-Escott problem and Littlewood's (other) Conjecture.

Let

$$\mathcal{Z}_n := \left\{ \sum_{i=0}^n a_i z^i : a_i \in \mathbb{Z} \right\}$$

denote the set of algebraic polynomials of degree at most n with integer coefficients and let  $\mathcal Z$  denote the union over n of all such polynomials.

Let

$$\mathcal{F}_n := \left\{ \sum_{i=0}^n a_i z^i : a_i \in \{-1, 0, 1\} \right\}$$

denote the set of polynomials of degree at most n with coefficients from  $\{-1,0,1\}$ . These are the polynomials of degree n of height 1.

Let

$$\mathcal{L}_n := \left\{ \sum_{i=0}^n a_i z^i : a_i \in \{-1, 1\} \right\}$$

denote the set of polynomials of degree at most n with coefficients from  $\{-1,1\}$ . In general we will call polynomials with coefficients  $\{-1,1\}$  Littlewood polynomials.

## P1. The Integer-Chebyshev Prob-

**lem.** Find the polynomial in  $\mathcal{Z}_n$  that has smallest possible supremum norm on the unit interval. Analyse the asmptotic behaviour as n tends to infinity.

Let

$$C_N[\alpha,\beta] :=$$

$$\left(\min_{a_i \in z, a_N \neq 0} \|a_0 + a_1 x + \ldots + a_N x^N\|_{[\alpha, \beta]}\right)^{\frac{1}{N}}.$$

One can show that

$$C[\alpha, \beta] := \lim_{N \to \infty} C_n[\alpha, \beta]$$

exists. This is the integer Chebyshev constant for the interval or the integer transfinite diameter. One can also show that

$$\frac{1}{2.3768 - \epsilon} \le C[0, 1] \le \frac{1}{2.360}.$$

### Lemma Suppose

$$q_m(x) = a_m x^m + \ldots + a_0, \qquad a_m \in Z$$

has all its roots in (0,1). (That is:  $q_m \in TR(0,1)$ ). Provided  $(q_m,p_n)=1$ 

$$||p_n||_{[0,1]}^{1/n} \ge \frac{1}{a_m^{1/m}}.$$

It is conjectured (Chudnovskys, Montgomery) that the lemma gives the right bound. (This is likely false.)

**P2.** The Tarry–Escott Problem. Find the polynomial of with integer coefficients that is divisible by  $(x-1)^n$  and has smallest possible  $l_1$  norm. (That is, minimize the sum of the absolute values of the coefficients.)

Almost equivalently (though not quite obviously) this polynomial must have coefficients  $\{0, -1, +1\}$  and so

$$||p||_{L^2\{|z|=1\}} = \sqrt{2n}.$$

## The Basis for the Conjecture

$$x^{\alpha_1} + \ldots + x^{\alpha_N} - x^{\beta_1} - \ldots - x^{\beta_N} = O((x-1)^N).$$

For 
$$N = 3, \dots, 10$$
 with

$$[\alpha_1,\ldots,\alpha_N]$$
 and  $[\beta_1,\ldots,\beta_N]$ 

$$\bullet$$
 [1, 2, 6] = [0, 4, 5]

$$\bullet$$
 [0, 4, 7, 11] = [1, 2, 9, 10]

$$\bullet$$
 [1, 2, 10, 14, 18] = [0, 4, 8, 16, 17]

$$\bullet$$
 [0, 4, 9, 17, 22, 26] = [1, 2, 12, 14, 24, 25]

- [0, 18, 27, 58, 64, 89, 101]
- = [1, 13, 38, 44, 75, 84, 102]
- [0, 4, 9, 23, 27, 41, 46, 50]
- = [1, 2, 11, 20, 30, 39, 48, 49]
- [0, 24, 30, 83, 86, 133, 157, 181, 197]
- = [1, 17, 41, 65, 112, 115, 168, 174, 198]
- [0,3083,3301,11893,23314,24186,35607,44199,44417,47500] =

[12, 2865, 3519, 11869, 23738, 23762, 35631, 43981, 44635, 47488]

## New Size 10 and 12 solutions

- [0, 12, 125, 213, 214, 412,
  413, 501, 614, 626]
  [5, 6, 133, 182, 242, 384, 444, 493,
  620, 621]
- [-515, -452, -366, -189, -103, 103, 189, 366, 452, 515]
  [-508, -471, -331, -245, -18, 18, 245, 331, 471, 508]
- [0, 11, 24, 65, 90, 129, 173,
  212, 237, 278, 291, 302]
  [3, 5, 30, 57, 104, 116, 186,
  198, 245, 272, 297, 299]

## **Diophantine Form**

Find distinct integers

$$[\alpha_1,\ldots,\alpha_N]$$
 and  $[\beta_1,\ldots,\beta_N]$ 

so that

$$\alpha_1 + \ldots + \alpha_N = \beta_1 + \ldots + \beta_N$$

$$\alpha_1^2 + \ldots + \alpha_N^2 = \beta_1^2 + \ldots + \beta_N^2$$

ŧ

$$\alpha_1^{N-1} + \ldots + \alpha_N^{N-1} = \beta_1^{N-1} + \ldots + \beta_N^{N-1}$$

The problem is completely open for  $N \geq 13$ .

#### P3. Erdős and Szekerez Problem.

For each n minimize

$$\|(1-z^{\alpha_1})(1-z^{\alpha_2})\dots(1-z^{\alpha_n})\|_D$$

where the  $\alpha_i$  are positive integers. In particular show that these mins grow faster than  $\beta^n$  for any positive constant  $\beta$ .

Call this minimum  $S_N$ . From the PTE problem

$$S_N \ge 2N$$

### **Examples**

```
N \| f \|_1 \{ \alpha_1, \dots, \alpha_N \}
1
  2 {1}
2 	 4 	 \{1,2\}
3 6 {1,2,3}
4
  8 {1,2,3,4}
  10 {1, 2, 3, 5, 7}
5
\{1, 1, 2, 3, 4, 5\}
  16 {1, 2, 3, 4, 5, 7, 11}
7
8
     \{1, 2, 3, 5, 7, 8, 11, 13\}
9 20 \{1, 2, 3, 4, 5, 7, 9, 11, 13\}
10 24 \{1, 2, 3, 4, 5, 7, 9, 11, 13, 17\}
11 28 \{1, 2, 3, 5, 7, 8, 9, 11, 13, 17, 19\}
12 36 \{1, \ldots, 9, 11, 13, 17\}
13 48 \{1, \ldots, 9, 11, 13, 17, 19\}
```

Conjecture Except for N=1,2,3,4,5,6 and 8

$$S_N \ge 2N + 2$$
.

• Maltby solves this for N=7, 9 and 10.

# P4. Littlewood's Problem in $L_{\infty}$ .

Find the polynomial in  $\mathcal{L}_n$  that has smallest possible supremum norm on the unit disk. Show that there exist positive constants  $c_1$  and  $c_2$  so that for any n is it is possible to find  $p_n \in \mathcal{L}_n$  with

$$c_1\sqrt{n} \le |p_n(z)| \le c_2\sqrt{n}$$

for all complex z with |z| = 1.

Littlewood, in part, based his conjecture on computations of all such polynomials up to degree twenty.

Odlyzko has now done 200 MIPS years of computing on this problem

**P5**. Erdős's Problem in  $L_{\infty}$ . Show that there exists a positive constant  $c_3$  so that for all n and all  $p_n \in \mathcal{L}_n$  we have  $\|p_n\|_D > (1+c_3)\sqrt{n}$ .

#### P6. The Merit Factor Problem.

Find the polynomial in  $\mathcal{L}_n$  that has smallest possible  $L_4$  norm on the unit disk. Show that there exists a positive constant  $c_4$  so that for all n and all  $p_n \in \mathcal{L}_n$  we have  $L_4(p_n) \geq (1 + c_4)\sqrt{n}$ .

P7. The Barker Polynomial Problem. For n > 12 and  $p_n \in \mathcal{L}_n$   $L_4(p_n) > ((n+1)^2 + 2n))^{1/4}$ .

A Barker polynomial

$$p(z) := \sum_{k=0}^{n} a_k z^k$$

with each  $a_k \in \{-1, +1\}$  so that

$$p(z)\overline{p(z)} := \sum_{k=-n}^{n} c_k z^k$$

satisfies  $c_0 = n + 1$  and

$$|c_j| \le 1, \qquad j = 1, 2, 3 \dots.$$

If p(z) is a Barker polynomial of degree  ${\sf n}$  then

$$||p||_4 \le ((n+1)^2 + 2n))^{1/4}$$

**P8. Lehmer's Problem (1933).** Show that a (non-cyclotomic) polynomial p with integer coefficients has Mahler measure at least 1.1762.... (This latter constant is the Mahler measure of  $1 + z - z^3 - z^4 - z^5 - z^6 - z^7 + z^9 + z^{10}$ .)

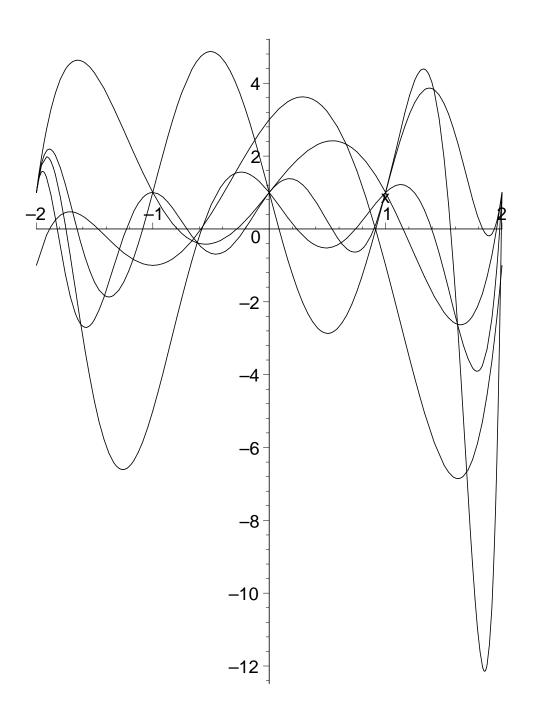
A conjecture of similar flavour is

P12. Conjecture of Schinzel and Zassenhaus (1965). There is a constant c so that any non-cyclotomic polynomial  $p_n$  of degree n with integer coefficients has at least one root of modulus at least c/n.

This conjecture is made in Schinzel and Zassenhaus [1965]. It is easy to see that P8 implies P12. The best partial is due to Smyth. If p is a non-reciprocal polynomial of degree n then at least one root  $\rho$  satisfies

$$\rho \ge 1 + \frac{\log \phi}{n}$$

where  $\phi = 1.3247...$  is the smallest Pisot number, namely the real root of  $z^3-z-1.$ 



**P9. Mahler's Problem.** For each n find the polynomials in  $\mathcal{L}_n$  that have largest possible Mahler measure. Analyse the asymptotic behaviour as n tends to infinity.

P10. Multiplicity of Zeros of Height One Polynomials. What is the maximum multiplicity of the vanishing at 1 of a polynomial in  $\mathcal{F}_n$ ?

P11. Muliplicity of Zeros in  $\mathcal{L}_n$ . What is the maximum multiplicity of the vanishing at 1 of a polynomial in  $\mathcal{L}_n$ ?

