

# THE INTEGER CHEBYSHEV PROBLEM

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**ABSTRACT.** We are concerned with the problem of minimizing the supremum norm on an interval of a nonzero polynomial of degree at most  $n$  with integer coefficients. This is an old and hard problem that cannot be exactly solved in any nontrivial cases.

We examine the case of the interval  $[0, 1]$  in most detail. Here we improve the known bounds a small but interesting amount. This allows us to garner further information about the structure of such minimal polynomials and their factors. This is primarily a (substantial) computational exercise.

We also examine some of the structure of such minimal “integer Chebyshev” polynomials, showing for example that on small intervals  $[0, \delta]$  and for small degrees  $d$ ,  $x^d$  achieves the minimal norm. There is a natural conjecture, due to the Chudnovskys and others, as to what the “integer transfinite diameter” of  $[0, 1]$  should be. We show that this conjecture is false.

The problem is then related to a trace problem for totally positive algebraic integers due to Schur and Siegel. Several open problems are raised.

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## 1. Introduction

The ubiquitous Chebyshev polynomial

$$(1.1) \quad T_n(x) := \cos(n \arccos x) = \frac{1}{2} \left[ (x + \sqrt{x^2 - 1})^n + (x - \sqrt{x^2 - 1})^n \right]$$

is a polynomial of degree  $n$  with integer coefficients and with lead coefficient  $2^{n-1}$  that equioscillates  $n + 1$  times on the interval  $[-1, 1]$ . For fairly simple reasons, based on this equioscillation, it follows that

$$\min_{p_{n-1} \in \mathcal{P}_{n-1}} \|x^n - p_{n-1}\|_{[-1,1]} = \|2^{1-n} T_n\|_{[-1,1]} = \frac{1}{2^{n-1}}.$$

Here and throughout,  $\mathcal{P}_n$  denotes the set of all polynomials of degree at most  $n$  with real coefficients, and  $\|\cdot\|_A$  denotes the supremum norm on a set  $A$ . We denote by  $\mathcal{Z}_n$  the set of all polynomials of degree at most  $n$  with integer coefficients.

The polynomial

$$(1.2) \quad p_n(x) := 2 \left( \frac{b-a}{4} \right)^n T_n \left( \frac{2x-a-b}{b-a} \right)$$

is now the monic polynomial of degree  $n$  of smallest supremum norm on the interval  $[a, b]$ , and it satisfies

$$(1.3) \quad \|p_n\|_{[a,b]} = 2 \left( \frac{b-a}{4} \right)^n.$$

The Chebyshev polynomials have a central role to play in minimization problems in the supremum norm as well as many other extremal problems. See, for example, [6].

The analogous problem where the polynomials are restricted to have integer coefficients is very much harder. For a very nice discussion of this problem see [15].

We define

$$(1.4) \quad \Omega_n[a, b] := \left( \inf_{0 \neq p \in \mathcal{Z}_n} \|p\|_{[a,b]} \right)^{1/n}$$

and let

$$(1.5) \quad \Omega[a, b] := \inf \{ \Omega_n[a, b] : n = 0, 1, \dots \} = \lim_{n \rightarrow \infty} \Omega_n[a, b].$$

We call any polynomial  $p \in \mathcal{Z}_n$  that achieves  $\Omega_n[a, b]$  an  $n$ th integer Chebyshev polynomial on  $[a, b]$ . The above limit exists and equals the infimum because

$$\Omega_n[a, b] \geq \min \left\{ 1, 2^{1/n} \frac{b-a}{4} \right\},$$

as follows from the unrestricted case (1.3), and because

$$(\Omega_{n+m}[a, b])^{n+m} \leq (\Omega_n[a, b])^n (\Omega_m[a, b])^m$$

since  $\|rs\|_{[a,b]} \leq \|r\|_{[a,b]}\|s\|_{[a,b]}$ . See, for example, [17, Chapter 3, §1, Problem 98].

We have the trivial inequality

$$\Omega[a, b] \geq \min \left\{ 1, \frac{b-a}{4} \right\}.$$

We also have

$$(1.7) \quad \Omega[a, b] \leq \Omega_n[a, b]$$

for any particular  $n$ . Thus, good upper bounds can be achieved by computation (although the computation to any degree of accuracy is hard). The limit  $\Omega[a, b]$  may be thought of as an integer version of the transfinite diameter.

Since  $p = 1$  is a candidate for achieving the infimum in (1.4) and since in (1.3),  $2((b-a)/4)^n > 1$  if  $b-a \geq 4$ , we observe that on intervals  $[a, b]$  of length greater than or equal to 4 we have that  $\Omega_n[a, b] = \Omega[a, b] = 1$ . We will thus from now on restrict our attention to intervals of length at most 4.

Hilbert [12] showed that there exists an absolute constant  $c$  so that

$$\inf_{0 \neq p \in \mathcal{Z}_n} \|p\|_{L_2[a,b]} \leq cn^{1/2} \left( \frac{b-a}{4} \right)^{1/2},$$

and Fekete [9] showed that

$$(\Omega_n[a, b])^n \leq 2^{1-2^{-n-1}} (n-1) \left( \frac{b-a}{4} \right)^{n/2}.$$

For refinements of their inequalities, see Kashin [13].

From the above it follows that

$$(1.8) \quad \frac{b-a}{4} \leq \Omega[a, b] \leq \left( \frac{b-a}{4} \right)^{1/2}$$

Recall that  $b-a \leq 4$ .

There is a pretty argument due to Gelfond [see 10] to see that integer coefficients really are a restriction on  $[0, 1]$ . If  $0 \neq p_n \in \mathcal{Z}_n$ , then for some integer  $m \neq 0$ ,

$$\|p_n\|_{[0,1]}^2 \geq \|p_n\|_{L_2[0,1]}^2 = \int_0^1 p_n^2(x) dx = \frac{m}{\text{LCM}(1, 2, \dots, 2n+1)} \neq 0$$

where LCM denotes the least common multiple. Now  $\text{LCM}(1, 2, \dots, n)^{1/n} \sim e$ , by the prime number theorem, and it follows that

$$\Omega[0, 1] \geq 1/e.$$

This is not, however, the right lower bound.

The best previous bounds known on  $[0, 1]$  give

$$(1.9) \quad \frac{1}{2.37684\dots} \leq \Omega[0, 1] \leq \frac{1}{2.3541\dots}.$$

See Aparicio [2,3,4,5], Amoroso [1], and the references therein. The upper bound is in Amoroso [1]. The lower bound is based on a method attributed to a number of people and variously rediscovered. (Aparicio [3] attributes it to Gorshkov.) It amounts to, in our context, showing that for every fixed  $p_n \in \mathcal{Z}_n$  there exist infinitely many polynomials  $q_k \in \mathcal{Z}_{n_k}$  with no common factors with  $p_n$ , with all their roots in  $(0, 1)$ , and with leading coefficients  $a_{n_k}$  satisfying

$$a_{n_k}^{1/n_k} < 2.37684\dots$$

(see §3). This argument rests on the following lemma, which is an easy consequence of the fundamental theorem of symmetric polynomials. See, for example, [8].

**Lemma 1.1.** *Suppose  $p_n \in \mathcal{Z}_n$  and suppose  $q_k(z) := a_k z^k + \dots + a_0 \in \mathcal{Z}_k$  has all its roots in  $[a, b]$ . If  $p_n$  and  $q_k$  do not have common factors, then*

$$(\|p_n\|_{[a,b]})^{1/n} \geq |a_k|^{-1/k}.$$

*Proof.* Let  $\beta_1, \beta_2, \dots, \beta_k$  be the roots of  $q_k$ . Then

$$|a_k|^n p_n(\beta_1) p_n(\beta_2) \cdots p_n(\beta_k)$$

is a nonzero integer, and the result follows.  $\square$

Aparicio also shows that if  $[a, b] = [0, 1]$ , then any polynomial  $p \in \mathcal{Z}_n$  for which the infimum in (1.4) is achieved, for sufficiently large  $n$ , has a factor of the form

$$(1.10) \quad (x)^{\lfloor \lambda_1 n \rfloor} (1-x)^{\lfloor \lambda_1 n \rfloor} (2x-1)^{\lfloor \lambda_2 n \rfloor} (5x^2-5x+1)^{\lfloor \lambda_3 n \rfloor},$$

where  $\lambda_1 \geq 0.014$ ,  $\lambda_2 \geq 0.016$ , and  $\lambda_3 \geq 0.0037$ .

In §2 we improve the upper bounds of  $\Omega[0, 1]$  to

$$\Omega[0, 1] \leq \frac{1}{2.3605\dots}$$

and use this to increase the number of factors that must divide an  $n$ th integer Chebyshev polynomial  $T_n$  on  $[0, 1]$ . The analysis, and in particular the approach to the computations on  $\Omega[0, 1]$ , is the content of §2.

In §3 we improve, by different methods, the lower bound of the multiplicity of the zero of  $T_n$  at 0 and 1. We use this to show, in Theorem 3.4, that the natural lower bound of (1.9) is not the best possible lower bound. This disproves a conjecture of the Chudnovskys [8].

We also establish a lower bound for the multiplicity of the zero at 0 of the integer Chebyshev polynomial  $T_{n,a}$  on  $[a, 1]$ . This is used to show that there exists a constant  $\delta > 0$  so that for every  $a \in [-\delta, \delta]$  and sufficiently large  $n$ , the  $n$ th integer Chebyshev polynomials  $T_{n,a}$  on  $[0, 1+a]$  are just the  $n$ th integer Chebyshev polynomials  $T_{n,0}$  on  $[0, 1]$ . It follows that the function  $\Omega(x) := \Omega[0, x]$  is constant on  $[1-\delta, 1+\delta]$ . This parallels various results of Amoroso [1]. In particular, Amoroso shows that there exists a constant  $\delta > 0$  so that for every  $a \in [-\delta, \delta]$ ,

$$\Omega[p_1/q_1, p_2/q_2] = \Omega[p_1/q_1 - a, p_2/q_2 + a]$$

if  $p_1, q_1, p_2$ , and  $q_2$  are positive integers with  $p_1q_2 - p_2q_1 = 1$ . Our methods are quite distinct.

Analogues of the  $[0, 1]$  case are also established on intervals  $[0, 1/m]$ ,  $m \in \mathbb{N}$ . It follows, for instance, that there exists an absolute constant  $c > 0$  so that  $T_n(x) = x^n$  for every  $n \leq cm$ , where  $T_n$  denotes an arbitrary  $n$ th integer Chebyshev polynomial on  $[0, 1/m]$ . We also show that

$$\limsup_{m \in \mathbb{N}} \left( (\Omega[0, 1/m])^{-1} - m \right) < 2 - \epsilon$$

for some  $\epsilon > 0$ .

In §4 we relate the integer Chebyshev problem on small intervals  $[0, 1/m]$  to an old problem of Schur and Siegel on the trace of totally positive algebraic integers.

We conclude with a number of open problems.

## 2. Integer Chebyshev Polynomials on $[0, 1]$

We restrict our attention to the interval  $[0, 1]$ . Though we observe in passing that

$$(2.1) \quad (\Omega[-1, 1])^4 = (\Omega[0, 1])^2 = \Omega[0, 1/4]$$

as a consequence of the changes of variable  $x \rightarrow x^2$  and  $x \rightarrow x(1-x)$  and symmetry. (The dependence of the constant  $\Omega[a, b]$  and the minimal polynomials on  $[a, b]$  is interesting and is explored a little further in later sections.) Even computing low-degree examples is complicated.

$n$	<u><math>n</math>th integer Chebyshev polynomial on <math>[0, 1]</math></u>	<u><math>\Omega_n[0, 1]</math></u>
1	$x$ or $(1-x)$ or $(2x-1)$	1
2	$x(1-x)$	1/2
3	$x(1-x)(2x-1)$	1/(2.18...)
4	$x^2(1-x)^2$ or $x(1-x)(2x-1)^2$	1/2
5	$x^2(1-x)^2(2x-1)$	1/(2.23...)
6	$[x(1-x)(2x-1)]^2$	1/(2.18...)

Note that we do not have uniqueness, though it is open as to whether we have uniqueness for  $n$  sufficiently large. The arguments for the above table are of the following variety. Consider, for example, the case  $n = 5$ . Let  $T_5 \in \mathcal{Z}_5$  be a 5th integer Chebyshev polynomial on  $[0, 1]$ . Then  $T_5(0)$  and  $T_5(1)$  are integers of modulus less than  $1/(2.23\dots)^5$ , so both of them must be 0. Using Markov's inequality, we obtain that

$$\|T_5'\|_{[0,1]} \leq 50\|T_5\|_{[0,1]} \leq \frac{50}{(2.23\dots)^5} < 1.$$

Since  $T_5'(0)$  and  $T_5'(1)$  are integers of modulus less than 1, both of them must be zero. Since  $2^5 T_5(1/2)$  is an integer of modulus at most  $32/(2.236\dots)^5 < 1$ , it must also be zero. Thus we have

$$x^2(1-x)^2(2x-1) \text{ divides } T_5,$$

and the result follows.

Let

$$\begin{aligned} p_0(x) &:= x \\ p_1(x) &:= 1 - x, \\ p_2(x) &:= 2x - 1, \\ p_3(x) &:= 5x^2 - 5x + 1, \\ p_4(x) &:= 13x^3 - 19x^2 + 8x - 1, \\ p_5(x) &:= 13x^3 - 20x^2 + 9x - 1, \\ p_6(x) &:= 29x^4 - 58x^3 + 40x^2 - 11x + 1, \\ p_7(x) &:= 31x^4 - 61x^3 + 41x^2 - 11x + 1, \\ p_8(x) &:= 31x^4 - 63x^3 + 44x^2 - 12x + 1, \\ p_9(x) &:= 941x^8 - 3764x^7 + 6349x^6 - 5873x^5 \\ &\quad + 3243x^4 - 1089x^3 + 216x^2 - 23x + 1. \end{aligned}$$

We have

**Proposition 2.1.** *Let*

$$P_{210} := p_0^{67} \cdot p_1^{67} \cdot p_2^{24} \cdot p_3^9 \cdot p_4 \cdot p_5 \cdot p_6^3 \cdot p_7 \cdot p_8 \cdot p_9;$$

then

$$\left(\|P_{210}\|_{[0,1]}\right)^{1/210} = \frac{1}{2.3543\dots},$$

and hence

$$\Omega[0, 1] \leq \frac{1}{2.3543\dots}.$$

*Proof.* This proof is obviously just a computational verification. It is the algorithm for finding  $P_{210}$  which is of some interest. It is based on *LLL* lattice basis reduction [14] in the following way.

a) Lattice basis reduction finds a short vector in a lattice. If we construct a lattice of the form

$$p(z) \cdot \sum_{k=0}^n \alpha_k z^k = \sum_{k=0}^m \beta_k z^k,$$

where  $p$  is a fixed polynomial and the set  $\{(\alpha_0, \alpha_1, \dots, \alpha_n)\}$  is a lattice, then the set  $\{(\beta_0, \beta_1, \dots, \beta_m)\}$  is also a lattice, and *LLL* will return a short vector in the sense of  $\sum_{k=0}^m |\beta_k|^2$  being relatively small. Observe that  $(\sum_{k=0}^m |\beta_k|^2)^{1/2}$  is just the  $L_2$  norm on the unit disk of the polynomial  $\sum_{k=0}^m \beta_k z^k$ . So *LLL* lets us find polynomials of small  $L_2$  norm (and hence small sup norm) on the disk, and we can do this while preserving divisibility by a fixed  $p$ .

b) Convert the problem from the interval  $[\alpha, \beta]$  to the disk. This is easy. One first maps  $[\alpha, \beta]$  to  $[-2, 2]$  by a linear change of variables. One then lets  $x := z + 1/z$ . This maps a polynomial in  $x$  on  $[-2, 2]$  to a polynomial in  $z$  and  $1/z$  on the boundary of the unit disk.

c) Attack the problem incrementally by using a) and b). That is, at the  $k$ th stage find a polynomial  $q_k$  of degree  $kN$  divisible by  $q_{k-1}$  of degree  $(k-1)N$  using *LLL* on a lattice of size  $N+1$ . This allows us to keep the size of *LLL* fairly small and uses the fact that integer Chebyshev polynomials tend to have (of necessity) many repeated factors. We used  $N = 10$  in the actual computation and started with  $q_0 := 1$ .  $\square$

We can computationally refine the above proposition as follows:

**Proposition 2.2.** *The following inequalities hold:*

$$\Omega[0, 1/4] \leq \frac{1}{5.5723\dots},$$

$$\Omega[0, 1] \leq \frac{1}{2.3605\dots}.$$

*Proof.* We minimize

$$\|S_1^{\alpha_1} S_2^{\alpha_2} \dots S_9^{\alpha_9}\|_{[0, 1/4]},$$

where

$$\begin{aligned}
S_1(x) &:= x, \\
S_2(x) &:= 4x - 1, \\
S_3(x) &:= 5x - 1, \\
S_4(x) &:= 29x^2 - 11x + 1, \\
S_5(x) &:= 169x^3 - 94x^2 + 17x - 1, \\
S_6(x) &:= 961x^4 - 712x^3 + 194x^2 - 23x + 1, \\
S_7(x) &:= 941x^4 - 703x^3 + 193x^2 - 23x + 1, \\
S_8(x) &:= 969581x^8 - 1441511x^7 + 928579x^6 - 338252x^5 \\
&\quad + 76143x^4 - 10836x^3 + 951x^2 - 47x + 1, \\
S_9(x) &:= 6x - 1,
\end{aligned}$$

by using the simplex method on a large grid of points  $\{x_k\}_{k=1}^N$  that includes all the extreme points of the above polynomials in  $[0, 1/4]$  to minimize the linear system

$$\frac{1}{2} \sum_{i=1}^9 \alpha_i \log S_i^2(x_k), \quad k = 1, 2, \dots, N,$$

subject to

$$\sum_{i=1}^9 \alpha_i = 1, \quad \alpha_i \geq 0, \quad i = 1, 2, \dots, 9.$$

If we do this, we obtain that

$$\begin{aligned}
&[\alpha_1, \alpha_2, \dots, \alpha_9] \\
&:= [.719122, .13093, .083914, .02928, .01450, .005154, .01028, .003612, .003189]
\end{aligned}$$

and can check that

$$\|S_1^{\alpha_1} S_2^{\alpha_2} \dots S_9^{\alpha_9}\| \leq \frac{1}{5.5724\dots}$$

Now raise the whole result to a power that makes each  $\alpha_i$  integer ( $10^{10}$  works) and the first inequality of the proposition follows. The second inequality follows from (2.1).

The choice of  $S_1, S_2, \dots, S_7$  comes about on transforming  $p_1, p_2, \dots, p_9$  from  $[0, 1]$  to  $[0, 1/4]$  by  $x \rightarrow x(1-x)$ . So, for example,  $(p_4 p_5)(x) = S_5(x(1-x))$ . The remaining two polynomials  $S_8$  and  $S_9$  were chosen somewhat gratuitously (though  $S_9$  is the next in a particular sequence of totally real polynomials with roots in  $[0, 1/4]$  and with small leading coefficient).  $\square$

We could also have solved the above minimization problem using Remez's algorithm.



**Corollary 2.3.** *Let  $k$  be a positive integer, and let  $P_{210}$  be as in Proposition 2.3. Then  $(P_{210})^k$  divides all the  $n$ th integer Chebyshev polynomials on  $[0, 1]$  provided  $n$  is sufficiently large.*

*Proof.* Each  $p_i$ ,  $i = 0, 1, \dots, 9$  is irreducible and satisfies

$$p_i(x) = a_k x^k + a_{k-1} x^{k-1} + \dots + a_0$$

with

$$|a_k|^{1/k} < 2.36.$$

Each  $p_i$  also has all roots in  $[0, 1]$ . It follows now by Lemma 1 that if  $Q_n$  is a polynomial of degree  $n$  with integer coefficients, and

$$(\|Q_n\|_{[0,1]})^{1/n} \leq \frac{1}{2.3605},$$

then  $p_i$  divides  $Q_n$ .

Also, by Markov's inequality,

$$\|Q_n^{(k)}\|_{[0,1]} \leq (2n)^k \|Q_n\|_{[0,1]}$$

and

$$\begin{aligned} (\|Q_n^{(k)}\|_{[0,1]})^{1/(n-k)} &\leq (2n)^{1/(n-k)} (\|Q_n\|_{[0,1]})^{1/(n-k)} \\ &\leq \frac{1}{2.36} \end{aligned}$$

for  $n$  sufficiently large compared to  $k$ . So not only does  $p_i$  divide  $Q_n$ , but  $p_i$  divides  $Q_n^{(k)}$ . In particular, each of the factors  $p_i$  must appear to arbitrarily high multiplicity eventually.  $\square$

We deduce immediately as above:

**Corollary 2.4.** *The polynomials*

$$p_0, p_1, \dots, p_9$$

*are the only irreducible polynomials, with all their zeros in  $[0, 1]$ , of the form*

$$p(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_0, \quad a_i \in \mathbb{Z}, \quad |a_n|^{1/n} \leq 2.36.$$

*Furthermore, the polynomials*

$$\tilde{p}_0, \tilde{p}_1, \dots, \tilde{p}_9,$$

*where  $\tilde{p}_j(z) := z^{\deg(p_j)} p_j(1/z)$ , are the only irreducible polynomials with all their zeros in  $[1, \infty)$  that satisfy*

$$N^{1/d} \leq 2.36.$$

(Here,  $N = N(p_j)$  is the norm of  $p_j$  on  $[0, 1]$ , that is, the product of the zeros of  $p_j$ , and  $d = d(p_j)$  is the degree of  $p_j$ .)

*Proof.* This follows easily from the above computations and Lemma 1. (One needs to consider the change of variable  $x \rightarrow x(1 - x)$ .) This should be compared with Smyth [20, 21] and Flammang [11], where many similar results are obtained, including forms of the one above.  $\square$

### 3. Finer Structure

The exact dependence of  $\Omega[a, b]$  on the interval  $[a, b]$  is interesting and complicated. If we let

$$\Omega(x) := \Omega[0, x],$$

then clearly  $\Omega$  is a nondecreasing function on  $(0, \infty)$ . Obviously,  $\lim_{x \rightarrow 0} \Omega(x) = 0$  (consider  $x^m$  on  $[0, \delta]$ ). So  $\Omega(x)$  maps  $[0, 4]$  onto  $[0, 1]$ . It is an exercise to show that  $\Omega$  is in fact continuous. This follows mostly from a theorem of Chebyshev that gives

$$\|p_n\|_{[0, \delta + \epsilon]} \leq (1 + k_{\epsilon, \delta})^n \|p_n\|_{[0, \delta]}$$

for every  $p_n \in \mathcal{P}_n$ . Here,  $k_{\epsilon, \delta}$  is a constant that tends to zero as  $\epsilon$  tends to zero, independently of the degree  $n$  and independently of  $\delta > \delta_0 > 0$ .

What is less obvious is that  $\Omega(x)$  is locally flat on many intervals. Indeed, it is conceivable that the derivative of  $\Omega$  is almost everywhere zero. We cannot prove this. However, we prove the nontrivial fact that  $\Omega$  is constant on a specific open neighborhood of 1. See Theorem 3.1 and Corollary 3.12 and compare [1].

We now show that an  $n$ th integer Chebyshev polynomial on  $[0, 1]$  has at least  $n/2$  of its zeros at 0 and 1 provided  $n$  is large enough. To this end, we need some results on orthogonal Müntz-Legendre polynomials. Let  $\Lambda = \{\lambda_i\}_{i=0}^{\infty}$  be a fixed sequence of distinct, nonnegative real numbers. Let

$$L_n(x) := L_n\{\lambda_0, \lambda_1, \dots, \lambda_n\}(x) = \sum_{j=0}^n c_{j,n} x^{\lambda_j}, \quad x \in (0, \infty),$$

with

$$c_{j,n} = \frac{\prod_{i=0}^{n-1} (\lambda_j + \lambda_i + 1)}{\prod_{i=0, i \neq j}^n (\lambda_j - \lambda_i)}.$$

It is shown in [6] that

$$\int_0^1 L_n(x) L_m(x) dx = \frac{\delta_{n,m}}{2\lambda_n + 1}, \quad n, m = 0, 1, \dots,$$

where  $\delta_{n,m}$  is the Kronecker symbol. From this orthogonality it follows easily that

$$\frac{1}{|c_{n,n}| \sqrt{2\lambda_n + 1}} = \min \left\{ \left\| \sum_{i=0}^n a_i x^{\lambda_i} \right\|_{L_2[0,1]} : a_0, a_1, \dots, a_{n-1} \in \mathbb{R}, \quad a_n = 1 \right\},$$

and hence that

$$|a_n| \leq |c_{n,n}| \sqrt{2\lambda_n + 1} \left\| \sum_{i=0}^n a_i x^{\lambda_i} \right\|_{L_2[0,1]}$$

for every  $a_0, a_1, \dots, a_n \in \mathbb{R}$ .

Let  $0 \leq k \leq n$  be fixed integers. Let  $P_n \in \mathcal{P}_n$  be of the form

$$P_n(x) = x^{n-k} Q_k(x), \quad Q_k \in \mathcal{P}_k, \quad Q_k(0) \neq 0.$$

Applying the above inequality with  $n$  replaced by  $n - k$  and

$$\lambda_i := n - i, \quad i = 0, 1, \dots, n - k,$$

we obtain that

$$(3.1) \quad \begin{aligned} |Q_k(0)| &\leq \sqrt{2k+1} \frac{\prod_{i=0}^{n-k-1} (k + (n-i) + 1)}{\prod_{i=0}^{n-k-1} ((n-i) - k)} \|P_n\|_{L_2[0,1]} \\ &= \sqrt{2k+1} \binom{n+k+1}{n-k} \|P_n\|_{L_2[0,1]}. \end{aligned}$$

**Theorem 3.1.** *Let  $T_n := T_n\{[0, 1]\} \in \mathcal{Z}_n$  be an  $n$ th integer Chebyshev polynomial on  $[0, 1]$ . Then  $T_n$  is of the form*

$$T_n(x) = x^k (1-x)^k S_{n-2k}(x), \quad S_{n-2k} \in \mathcal{Z}_{n-2k},$$

where  $0.26n < k$  if  $n$  is large enough.

As a consequence, there exists an absolute constant  $\delta > 0$  (independent of  $n$ ) so that  $T_n$  is an  $n$ th integer Chebyshev polynomial on larger intervals  $[-a, 1+a]$  for every  $a \in (0, \delta]$ .

*Proof.* By symmetry, it is sufficient to prove that if

$$T_n(x) = x^k Q_{n-k}(x), \quad Q_{n-k} \in \mathcal{Z}_{n-k}, \quad Q_{n-k}(0) \neq 0,$$

then  $0.26n < k$  if  $n$  is large enough.

Observe that  $Q_{n-k} \in \mathcal{Z}_{n-k}$  and  $Q_{n-k}(0) \neq 0$  implies that  $1 \leq |Q_{n-k}(0)|$ . Also, by Proposition 2.2,

$$\|T_n\|_{L_2[0,1]} \leq \|T_n\|_{[0,1]} \leq (2.36 + \epsilon)^{-n}$$

for some  $\epsilon > 0$ , if  $n$  is large enough. These, together with (3.1), yield that

$$1 \leq \sqrt{2k+1} \binom{n+k+1}{n-k} (2.36 + \epsilon)^{-n}.$$

Hence, by Stirling's formula, if  $k/n \leq \alpha < 1/\sqrt{5}$  and  $n$  is large enough, then

$$2.36 \leq \frac{(1+\alpha)^{1+\alpha}}{(2\alpha)^{2\alpha}(1-\alpha)^{1-\alpha}},$$

from which we compute that  $\alpha > 0.26$ . This finishes the proof of the first statement of the theorem. The second statement of the theorem follows from the first one by using a result of Saff and Varga [18] and Chebyshev's inequality. This result of Saff and Varga is formulated in Lemma 3.2.  $\square$

If  $P \in \mathcal{Z}_k$  has all its zeros in  $[a, b]$ , then we say that  $P$  is totally real on  $[a, b]$ . The collection of all totally real polynomials  $P \in \mathcal{Z}_k$  on  $[a, b]$  will be denoted by  $\text{TR}_k[a, b]$ . Let

$$\text{TR}[a, b] := \bigcup_{k=0}^{\infty} \text{TR}_k[a, b].$$

Let

$$P_0(x) := x, \quad \text{and} \quad P_k(x) := x^{2^{k-1}} P_{k-1}(x - 1/x), \quad k = 1, 2, \dots$$

Then  $P_k \in \text{TR}_{2^k}[\mathbb{R}]$ . Let  $Q_k \in \mathcal{Z}_{2^k}$  be defined by

$$Q_k(x^2) = P_k(x)P_k(-x).$$

Then  $Q_k \in \text{TR}_{2^k}[0, \infty]$ . Let  $R_k$  be defined by

$$(3.2) \quad R_k(x) := x^{2^k} Q_k(1/x - 1) =: \sum_{j=0}^{2^k} a_{j,2^k} x^j.$$

Then  $R_k \in \text{TR}_{2^k}[0, 1]$  and

$$(3.3) \quad |a_{2^k, 2^k}|^{1/2^k} = |F^{[k]}(i)|^{1/2^k} \prod_{j=1}^k |F^{[j]}(i)|^{1/2^j}, \quad F(x) := x - \frac{1}{x},$$

where  $i$  is the imaginary unit and

$$(3.4) \quad F^{[1]} := F, \quad F^{[j]} := F^{[j-1]} \circ F, \quad j = 2, 3, \dots$$

It is fairly simple to see that for every fixed  $p_n \in \mathcal{Z}_n$ , there exist infinitely many  $R_k$  with no common factors with  $p_n$ . Since

$$(3.5) \quad \gamma := \lim_{k \rightarrow \infty} |F^{[k]}(i)|^{1/2^k} \prod_{j=1}^k |F^{[j]}(i)|^{1/2^j} = 2.37684\dots,$$

the lower bound

$$\gamma^{-1} = \frac{1}{2.37684\dots} \leq \Omega[0, 1]$$

follows from Lemma 1.1 in a canonical fashion. It might be natural to conjecture that  $\gamma^{-1}$  is the sharp lower bound. However, using Theorem 3.1, we show that  $\gamma^{-1}$  is not the best possible lower bound for  $\Omega[0, 1]$ . For this we need two lemmas. The first one is due to Saff and Varga [18].

**Lemma 3.2.** Let  $\{p_n\}$  be a sequence of polynomials of the form

$$p_n(x) = x^{k(n)} q_{n-k(n)}, \quad q_{n-k(n)} \in \mathcal{P}_{n-k(n)},$$

where  $0 \leq k(n) \leq n$  are integers and where  $n \rightarrow \infty$ . Suppose that there exists a constant  $\theta$  with  $0 < \theta < 1$  so that

$$\theta \leq \frac{k(n)}{n}, \quad n = 1, 2, \dots$$

If

$$\limsup_{n \rightarrow \infty} \|p_n\|^{1/n} \leq 1,$$

then

$$\lim_{n \rightarrow \infty} p_n(x) = 0 \quad \text{for all } x \in [0, \theta^2),$$

uniformly on every closed subset of  $[0, \theta^2)$ . Moreover, the convergence is geometric, in the sense that for any closed  $K \subset [0, \theta^2)$ ,

$$\limsup_{n \rightarrow \infty} \|p_n\|_K^{1/n} < 1.$$

The proof of the next lemma follows simply from the definition of  $R_k$  given by (3.2); we omit the details.

**Lemma 3.3.** For every  $\delta \in (0, 1)$  there exists a constant  $\eta = \eta(\delta) > 0$  so that  $R_k$  has at least  $\eta 2^k$  zeros in  $[0, \delta]$  for every large enough  $k$ .

**Theorem 3.4.** There exists an  $\epsilon > 0$  so that

$$(\gamma - \epsilon)^{-1} \leq \Omega[0, 1],$$

where  $\gamma = 2.37684\dots$  is defined by (3.5).

*Proof.* Let  $T_n := T_n\{[0, 1]\} \in \mathcal{Z}_n$  be an  $n$ th integer Chebyshev polynomial on  $[0, 1]$ . There exists an infinite set  $A_n \subset \mathbb{N}$  so that  $R_k$  and  $T_n$  do not have common factors for every  $k \in A_n$ . By Theorem 3.1 and Lemma 3.2 there exists a constant  $\alpha \in (0, 1)$  so that

$$(3.6) \quad |T_n(x)|^{1/n} \leq \alpha \|T_n\|_{[0,1]}^{1/n}, \quad x \in [0, 1/16],$$

if  $n$  is large enough. In what follows we assume that  $n$  is so large that (3.6) holds. By Lemma 3.3 there exists an  $\eta > 0$  so that  $R_k$  has at least  $\eta 2^k$  zeros in  $[0, 1/16]$  if  $k$  is large enough. For such a large  $k \in A_n$ , denote the zeros of  $R_k$  by

$$(0 <) x_1 < x_2 < \dots < x_{2^k} (\leq 1)$$

and let  $a_{2^k}$  denote the leading coefficient of  $R_k$ . The proof of Lemma 1 shows that

$$(3.7) \quad \frac{1}{|a_{2^k}|} \leq \prod_{i=1}^{2^k} |T_n(x_i)|^{1/n}.$$

Observe that

$$\begin{aligned} \prod_{i=1}^{2^k} |T_n(x_i)|^{1/n} &= \prod_{\substack{i=1 \\ x_i \in [0, 1/16]}}^{2^k} |T_n(x_i)|^{1/n} \prod_{\substack{i=1 \\ x_i \in (1/16, 1]}}^{2^k} |T_n(x_i)|^{1/n} \\ &\leq \alpha^{\eta 2^k} (\|T_n\|_{[0,1]})^{2^k/n}. \end{aligned}$$

Taking the  $2^k$ th root and combining this with (3.7), we obtain that

$$(3.8) \quad \frac{1}{|a_{2^k}|^{1/2^k}} \leq \alpha^\eta \|T_n\|_{[0,1]}^{1/n}.$$

Taking the limit when  $k \in A_n$  tends to  $\infty$ , we conclude

$$\gamma^{-1} \alpha^{-\eta} \leq \|T_n\|_{[0,1]}^{1/n}, \quad n = 0, 1, \dots$$

Since  $0 < \alpha < 1$  and  $0 < \eta$ , the theorem is proved.  $\square$

In general, on  $[0, 1/m]$  we prove the following lower bound for  $\Omega[0, 1/m]$ .

**Theorem 3.5.** *We have*

$$\left(m + 2 - \frac{1}{4(m+1)}\right)^{-1} \leq \Omega[0, 1/m]$$

for every  $m = 1, 2, \dots$

*Proof.* Change the definition of  $R_k$  in (3.2) to

$$(3.9) \quad R_k(x) := x^{2^k} Q_k(1/x - m) := \sum_{j=0}^{2^k} a_{j,2^k} x^j.$$

Then  $R_k \in \text{TR}_{2^k}[0, 1/m]$  and

$$|a_{2^k, 2^k}|^{1/2^k} = |F^{[k]}(i\sqrt{m})|^{1/2^k} \prod_{j=1}^k |F^{[j]}(i\sqrt{m})|^{1/2^j}, \quad F(x) = x - \frac{1}{x},$$

where  $i$  is the imaginary unit and  $F^{[j]}$  is defined by (3.4). It follows easily again that for every fixed  $p_n \in \mathcal{Z}_n$  there exist infinitely many  $R_k$  with no common factors with  $p_n$ . Therefore, Lemma 1.1 yields that

$$(3.10) \quad \gamma_m^{-1} \leq \Omega[0, 1/m],$$

where

$$(3.11) \quad \gamma_m := \lim_{k \rightarrow \infty} |F^{[k]}(i\sqrt{m})|^{1/2^k} \prod_{j=1}^k |F^{[j]}(i\sqrt{m})|^{1/2^j}.$$

To give an upperbound for  $\gamma_m$ , first note that

$$(3.12) \quad \gamma_m \leq f(m) \left( \frac{\sqrt{m} + 1/\sqrt{m} + 1/(\sqrt{m} + 1/\sqrt{m})}{\sqrt{m} + 2/\sqrt{m}} \right)^{1/4},$$

where the function  $f$  is defined by

$$f(x) := x \prod_{k=1}^{\infty} \left( 1 + \frac{k}{x} \right)^{2^{-k}}, \quad x \in (0, \infty).$$

To estimate  $f(m)$ , we distinguish four steps.

**Step 1.** *We have*

$$\limsup_{x \rightarrow \infty} (f(x) - (x + 2)) \leq 0.$$

*Proof.* Indeed,

$$\begin{aligned} f(x) - x &\leq x \left( \exp \left( \sum_{k=1}^{\infty} \frac{k 2^{-k}}{x} \right) - 1 \right) \\ &\leq x \left( \exp \left( \frac{2}{x} \right) - 1 \right) \xrightarrow{x \rightarrow \infty} 2. \end{aligned}$$

**Step 2.** *The function*

$$g(x) := \log f(x) - \log(x + 2)$$

*is nondecreasing on  $(0, \infty)$ .*

*Proof.* We need to show that

$$\left( \log x + \left( \sum_{k=1}^{\infty} 2^{-k} \log \left( 1 + \frac{k}{x} \right) \right) - \log(x + 2) \right)' \geq 0$$

on  $(0, \infty)$ . To this end, it is sufficient to show, in sequence, the following inequalities for all  $x \in (0, \infty)$ :

$$\frac{1}{x} + \sum_{k=1}^{\infty} \left( 2^{-k} k \frac{x^{-2}}{1 + \frac{k}{x}} \right) - \frac{1}{x + 2} \geq 0,$$

$$\begin{aligned}
\frac{1}{x} - \frac{1}{x+2} &\geq \sum_{k=1}^{\infty} 2^{-k} k \frac{1}{x(x+k)}, \\
\frac{1}{x} - \frac{1}{x+2} &\geq \sum_{k=1}^{\infty} 2^{-k} \left( \frac{1}{x} - \frac{1}{x+k} \right), \\
\frac{1}{x+2} &\leq \sum_{k=1}^{\infty} \frac{2^{-k}}{x+k}, \\
\sum_{k=1}^{\infty} 2^{-k} \left( \frac{1}{x+k} - \frac{1}{x+2} \right) &\geq 0, \\
\sum_{k=1}^{\infty} 2^{-k} \frac{2-k}{(x+k)(x+2)} &\geq 0.
\end{aligned}$$

So

$$\begin{aligned}
\sum_{k=1}^{\infty} 2^{-k} \frac{2-k}{(x+k)(x+2)} &\geq \sum_{k=1}^{\infty} 2^{-k} \frac{2-k}{(x+1)(x+2)} \\
&= \frac{1}{(x+1)(x+2)} \left( 2 \sum_{k=1}^{\infty} 2^{-k} - \sum_{k=1}^{\infty} k 2^{-k} \right) \\
&= \frac{1}{(x+1)(x+2)} (2 - 2) = 0
\end{aligned}$$

for all  $x \in (0, \infty)$ , so the statement is proved.

**Step 3.** By Step 2 the function  $h$  defined by

$$h(x) := \frac{f(x)}{x+2}$$

is nondecreasing.

**Step 4.** We have  $f(x) \leq x+2$  for every  $x \in (0, \infty)$ .

*Proof.* Otherwise,  $f(x)/(x+2) > 1 + \epsilon$  for some  $x$  in  $(0, \infty)$  and  $\epsilon > 0$ , hence by Step 3,  $f(x)/(x+2) > 1 + \epsilon$  for every large enough  $x$ , so  $f(x) > x+2+2\epsilon$  for every large enough  $x$ , which contradicts Step 1.

We conclude that  $f(m) \leq m+2$ , and the theorem follows from (3.10) and (3.12).  $\square$

Combining the preceding theorem with a simple computation, we obtain



**Theorem 3.6.** *We have*

$$\left(m + 2 - \frac{1}{4(m+1)}\right)^{-1} \leq \Omega[0, 1/m] < (m + 1.46)^{-1}$$

if  $m \in \mathbb{N}$  is large enough.

*Proof.* For  $\alpha = 1.32$ ,

$$\|x^{\alpha m}(mx - 1)((m+1)x - 1)\|^{1/(\alpha m + 2)} \leq (m + 1.46 + \epsilon)^{-1}$$

if  $m \in \mathbb{N}$  is large enough and  $\epsilon > 0$  is small enough.  $\square$

The next theorem tells us that for  $c > 8$  and large values of  $m \in \mathbb{N}$  the  $n$ th integer Chebyshev polynomial on  $[(m+c)^{-1}, m^{-1}]$  is forced to have a zero at 0 with large multiplicity. This leads to the interesting observation that if  $m \in \mathbb{N}$  and  $c > 8$  are large enough then the  $n$ th integer Chebyshev polynomials on  $[(m+c)^{-1}, m^{-1}]$  are exactly the  $n$ th integer Chebyshev polynomials on  $[0, m^{-1}]$ . See Corollary 3.8. It also follows that there exists an absolute constant  $c > 0$  so that if  $n < cm$ , then each of the  $n$ th integer Chebyshev polynomials  $T_n := T_n[0, 1/m]$  is of the form  $T_n(x) = x^n$ .

**Theorem 3.7.** *Let  $m$  be a positive integer and  $c > 8$ . Let*

$$I_{m,c} := [(m+c)^{-1}, m^{-1}],$$

and let  $T_n := T_n\{I_{m,c}\} \in \mathcal{Z}_n$  be an  $n$ th integer Chebyshev polynomial on  $I_{m,c}$ . Then  $T_n$  is of the form

$$T_n(x) = x^k Q_{n-k}(x), \quad Q_{n-k} \in \mathcal{Z}_{n-k}, \quad Q_{n-k}(0) \neq 0,$$

with

$$n \left(1 - \frac{8}{\log 2} \frac{1}{m}\right) \leq k.$$

*Proof.* Chebyshev's inequality [6, §5.1] and the explicit form of the (usual noninteger) Chebyshev polynomial of degree  $n$  give

$$|P(y)| \leq \frac{1}{2} \left| \frac{2(y - (a+b)/2)}{(b-a)/2} \right|^n \|P\|_{[a,b]}, \quad y \notin [a,b],$$

for every  $P \in \mathcal{P}_n$ . Applying this with

$$P := T_n, \quad a := (m+c)^{-1}, \quad b := m^{-1}, \quad y := 0,$$

we obtain that

$$\begin{aligned}
1 \leq |Q_{n-k}(0)| &\leq 2^{n-k} \left(\frac{2(m+8)}{8}\right)^{n-k} \|Q_{n-k}\|_{I_{m,s}} \\
&\leq 2^{n-k} \left(\frac{2(m+8)}{8}\right)^{n-k} \left(\frac{1}{m+8}\right)^{-k} \|T_n\|_{I_{m,s}} \\
&\leq 2^{n-k} \left(\frac{2(m+8)}{8}\right)^{n-k} \left(\frac{1}{m+8}\right)^{-k} m^{-n} \\
&\leq \left(\frac{1}{2}\right)^{n-k} \left(\frac{m+8}{m}\right)^n,
\end{aligned}$$

where we used that

$$\|T_n\|_{I_{m,s}} \leq \|T_n\|_{I_{m,c}} \leq \|x^n\|_{I_{m,c}} = m^{-n}$$

and the observation that  $Q_{n-k} \in \mathcal{Z}_k$  and  $Q_{n-k}(0) \neq 0$  implies that  $1 \leq |Q_{n-k}(0)|$ . We conclude that

$$(n-k) \log 2 \leq n \log(1+8m^{-1}) \leq n(8m^{-1}),$$

and the theorem follows.  $\square$

**Corollary 3.8.** *Suppose  $m \in \mathbb{N}$ ,  $m \geq 32/\log 2$ , and  $c \geq 32/\log 2$ . Let*

$$T_n := T_n\{(m+c)^{-1}, m^{-1}\} \in \mathcal{Z}_n$$

*be an  $n$ th integer Chebyshev polynomial on  $[(m+c)^{-1}, m^{-1}]$ . Then  $T_n$  is also an  $n$ th integer Chebyshev polynomial on  $[0, 1/m]$ .*

*Proof.* Combine Theorem 3.7 and Lemma 3.2.  $\square$

The next theorem shows that if  $a \in (0, 1/8)$  then an  $n$ th integer Chebyshev polynomial on  $[a, 1]$  has at least  $(0.17)n$  zeros at 0. See Corollary 3.10. It follows easily from this that the  $n$ th integer Chebyshev polynomials on  $[0, 1 - (0.17)^2]$  are exactly the  $n$ th integer Chebyshev polynomials on  $[0, 1]$ . See Corollary 3.12. This implies that the function  $\Omega(x) := \Omega[0, x]$  is constant on  $[1 - (0.17)^2, 1]$ . This, together with the second statement of Theorem 3.1, yields that  $\Omega$  is constant on an open neighborhood of 1.

**Theorem 3.9.** *Let  $a \in (0, 1)$ . Let  $T_n := T_n\{[a, 1]\} \in \mathcal{Z}_n$  be an  $n$ th integer Chebyshev polynomial on  $[a, 1]$ . Suppose that  $\alpha \in (0, 1/\sqrt{5}]$  satisfies*

$$2.36 \geq \frac{(1+\alpha)^{1+\alpha}}{(2\alpha)^{2\alpha}(1-\alpha)^{1-\alpha}} \left(1 + \frac{2a}{1-a} + \sqrt{\left(1 + \frac{2a}{1-a}\right)^2 - 1}\right)^\alpha.$$

Then

$$T_n(x) = x^k Q_{n-k}(x), \quad Q_{n-k} \in \mathcal{Z}_{n-k}, \quad Q_{n-k}(0) \neq 0,$$

with  $\alpha n \leq k$  for every sufficiently large  $n$ .

*Proof.* Combining inequality (3.1), Chebyshev's inequality [6, §5.1], the explicit form of the (usual noninteger) Chebyshev polynomial of degree  $n$ , and Proposition 2.2, we obtain that

$$\begin{aligned} 1 &\leq |Q_k(0)| \leq \sqrt{2k+1} \binom{n+k+1}{n-k} \|T_n\|_{L_2[0,1]} \\ &\leq \sqrt{2k+1} \binom{n+k+1}{n-k} \left(1 + \frac{2a}{1-a} + \sqrt{\left(1 + \frac{2a}{1-a}\right)^2 - 1}\right)^k \|T_n\|_{[0,a]} \\ &\leq \sqrt{2k+1} \binom{n+k+1}{n-k} \left(1 + \frac{2a}{1-a} + \sqrt{\left(1 + \frac{2a}{1-a}\right)^2 - 1}\right)^k (2.36 + \epsilon)^{-n} \end{aligned}$$

for some  $\epsilon > 0$ . Hence by Stirling's formula, if  $k/n \leq \alpha \leq 1/\sqrt{5}$  and  $n$  is large enough, then

$$2.36 < \frac{(1+\alpha)^{1+\alpha}}{(2\alpha)^{2\alpha}(1-\alpha)^{1-\alpha}} \left(1 + \frac{2a}{1-a} + \sqrt{\left(1 + \frac{2a}{1-a}\right)^2 - 1}\right)^\alpha.$$

This contradicts the assumption of the theorem.  $\square$

**Corollary 3.10.** *Let  $a \in (0, 1/8)$ . Let  $T_n := T_n\{[a, 1]\} \in \mathcal{Z}_n$  be an  $n$ th integer Chebyshev polynomial on  $[0, a]$ . Then*

$$T_n(x) = x^k Q_{n-k}(x), \quad Q_{n-k} \in \mathcal{Z}_{n-k}, \quad Q_{n-k}(0) \neq 0,$$

with  $0.17n \leq k$  for every sufficiently large  $n$ .

*Proof.* This follows from Corollary 3.9.  $\square$

**Corollary 3.11.** *Let  $a \in (0, (0.17)^2)$ . Let  $T_n := T_n\{[a, 1]\} \in \mathcal{Z}_n$  be an  $n$ th integer Chebyshev polynomial on  $[a, 1]$ . Then  $T_n$  is an  $n$ th integer Chebyshev polynomial on  $[0, 1]$  for every sufficiently large  $n$ .*

*Proof.* Combine Corollary 3.10 and Lemma 3.2.  $\square$

**Corollary 3.12.** *Let  $a \in (0, (0.17)^2)$ . Let  $T_n := T_n\{[0, 1-a]\} \in \mathcal{Z}_n$  be an  $n$ th integer Chebyshev polynomial on  $[0, 1-a]$ . Then  $T_n$  is an  $n$ th integer Chebyshev polynomial on  $[0, 1]$  for every sufficiently large  $n$ .*

*Proof.* Apply Corollary 3.11 with the substitution  $y = 1 - x$ .  $\square$

To find the value  $\limsup_m ((\Omega[0, 1/m])^{-1} - m)$  seems to be an interesting problem. Theorem 3.6 yields that this value is at most 2, and it may be suspected that it is exactly 2. However, the next corollary shows that it is less than 2. (This should be compared with Amoroso [1] where a weaker result is proved with  $2 - \epsilon$  replaced by 2.)

**Corollary 3.13.** *Let*

$$\gamma_m := (\Omega[0, 1/m])^{-1} - m.$$

*Then there exists an  $\epsilon > 0$  so that*

$$\limsup_m \gamma_m \leq 2 - \epsilon.$$

*Proof.* This follows from Theorem 3.5, Corollary 3.8 and Lemmas 3.1 and 3.3. The details are similar to those given in the proof of Theorem 3.4, so we omit them.  $\square$

#### 4. The Schur-Siegel Trace Problem

Let  $\alpha := \alpha_1$  be an algebraic integer with conjugates  $\alpha_2, \dots, \alpha_n$ . We say that  $\alpha$  is totally real (positive) if all the  $\alpha_i$  are real (positive). The trace of a totally positive algebraic integer is the sum  $\alpha_1 + \alpha_2 + \dots + \alpha_n$ , which is just, up to the sign, the second-highest coefficient of the minimal polynomial. Except for finitely many explicit exceptions, if  $\alpha$  is a totally real algebraic integer of degree  $d > 1$ , then

$$\frac{\alpha_1 + \alpha_2 + \dots + \alpha_d}{d} \geq 1.648, \quad \text{Schur (1918)}$$

$$\frac{\alpha_1 + \alpha_2 + \dots + \alpha_d}{d} \geq 1.733, \quad \text{Siegel (1945)}$$

$$\frac{\alpha_1 + \alpha_2 + \dots + \alpha_d}{d} \geq 1.771, \quad \text{Smyth (1983)}.$$

See [16, 19, 20, 21]. Note that  $4 \cos^2(\pi/p)$  is a totally positive algebraic integer of degree  $(p-1)/2$  and trace  $p-2$  for  $p$  prime. So the best constant in the above theorem is less than 2. The connection with the integer Chebyshev Problem is the content of the next proposition.

**Proposition 4.1.** *Suppose  $m$  is a positive integer and*

$$\Omega[0, 1/m] < (m + \delta)^{-1}.$$

*Then, with at most finitely many exceptions,*

$$\frac{\alpha_1 + \alpha_2 + \dots + \alpha_d}{d} \geq \delta$$

*for every totally positive algebraic integer  $\alpha_1$  of degree  $d > 1$  with conjugates  $\alpha_2, \dots, \alpha_d$ .*

*Proof.* Suppose  $p$  is the minimal polynomial for  $\alpha$  and

$$p(x) := x^d - a_{d-1}x^{d-1} + \dots + a_0;$$

then  $\alpha_1 + m, \alpha_2 + m, \dots, \alpha_d + m$  are conjugate roots of  $q \in \mathcal{Z}_d$  defined by

$$q(x) = x^d - (a_{d-1} + md)x^{d-1} + \dots + b_0.$$

Now by the arithmetic-geometric mean inequality

$$\begin{aligned} b_0^{1/d} &= ((\alpha_1 + m)(\alpha_2 + m) \cdots (\alpha_d + m))^{1/d} \\ &\leq \frac{\alpha_1 + \alpha_2 + \dots + \alpha_d + dm}{d} = \frac{a_{d-1}}{d} + m. \end{aligned}$$

We apply Lemma 1.1 to

$$\tilde{q}(x) := x^d q(1/x),$$

which has all its roots in  $(0, 1/m)$  and is irreducible, to conclude that either

$$\frac{a_{d-1}}{d} + m > m + \delta$$

(which is the conclusion we want) or  $\tilde{q}(x)$  is a factor of all  $n$ th integer Chebyshev polynomials on  $[0, 1/m]$ , provided  $n$  is large enough.  $\square$

**Corollary 4.2.** *If  $\alpha_1$  is a totally positive algebraic integer of degree  $d > 1$  with conjugates  $\alpha_2, \dots, \alpha_d$ , then*

$$\frac{\alpha_1 + \alpha_2 + \dots + \alpha_d}{d} > 1.752,$$

*with at most finitely many exceptions. (There are no exceptions of degree greater than 8.)*

This is not as good as Smyth's result. It, however, follows immediately from a computation, as in §2, which shows that

$$\Omega[0, 1/200] < \frac{1}{201.752},$$

and gives the factors of an example which yields the above upper bound.

## 5. Open Problems

There are a myriad of open problems in and around integer Chebyshev polynomials. We formulate a few of them as questions.

**Q1.** Find a reasonable algorithm for exactly computing integer Chebyshev polynomials on  $[0, 1]$  that would work up to, say, degree 200.

**Q2.** Are the integer Chebyshev polynomials eventually unique?

**Q3.** Do the integer Chebyshev polynomials on  $[0, 1]$  have all their zeros in  $[0, 1]$ ?

**Q4.** Determine  $\Omega[0, \alpha]$  exactly for any  $0 < \alpha < 4$ .

**Q5.** Determine the limit (or the limsup, if the limit does not exist) of

$$(\Omega[0, 1/m])^{-1} - m.$$

**Q6.**<sup>2</sup> Are all the irreducible factors of the integer Chebyshev polynomials on  $[0, 1]$  forced to be factors as in Lemma 1.1? That is, are all irreducible factors  $q$  of the form

$$q(x) = a_k x^k + a_{k-1} x^{k-1} + \cdots + a_0,$$

with all their zeros in  $[0, 1]$ , and with

$$|a_k|^{1/k} < (\Omega[0, 1])^{-1}?$$

**Q7.** Show that there exist infinitely many irreducible polynomials with integer coefficients which divide an  $n$ th integer Chebyshev polynomial on  $[0, 1]$  for some  $n$ .

## 6. Appendix

The following is a list of all polynomials of degree at most  $n$ , for  $n = 1, 2, \dots, 22$ , which minimize  $\|p_n\|_{L_2[0,1]}$  subject to  $p_n \in \mathcal{Z}_n$ . This computation was done in *pari* by using the *minum* function.

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<sup>2</sup>In a preprint entitled “On integer Chebyshev polynomials” Habsieger and Salvy compute an  $n$ th integer Chebyshev polynomial on  $[0, 1]$  for every  $n = 1, 2, \dots, 75$ . The 70th such polynomial has a factor with four non-real zeros. This gives partial answers to **Q3** and **Q6** above.

Degree 1	$z - 1$
Degree 1	$z$
Degree 2	$(z - 1)z$
Degree 3	$(2z - 1)z(z - 1)$
Degree 4	$(2z - 1)(z - 1)z^2$
Degree 4	$(2z - 1)z(z - 1)^2$
Degree 4	$(5z^2 - 5z + 1)(z - 1)z$
Degree 4	$(z - 1)z(2z - 1)^2$
Degree 4	$(z - 1)^2z^2$
Degree 5	$(2z - 1)(z - 1)^2z^2$
Degree 6	$(2z - 1)^2z^2(z - 1)^2$
Degree 7	$(2z - 1)(5z^2 - 5z + 1)(z - 1)^2z^2$
Degree 7	$(2z - 1)(z - 1)^3z^3$
Degree 8	$(2z - 1)^2(z - 1)^3z^3$
Degree 9	$(2z - 1)(5z^2 - 5z + 1)(z - 1)^3z^3$
Degree 10	$(5z^2 - 5z + 1)(2z - 1)^2(z - 1)^3z^3$
Degree 10	$(2z - 1)^2(z - 1)^4z^4$
Degree 11	$(2z - 1)(5z^2 - 5z + 1)(z - 1)^4z^4$
Degree 12	$(5z^2 - 5z + 1)(2z - 1)^2(z - 1)^4z^4$
Degree 13	$(5z^2 - 5z + 1)(2z - 1)^2z^4(z - 1)^5$
Degree 13	$(5z^2 - 5z + 1)(2z - 1)^2(z - 1)^4z^5$
Degree 13	$(5z^2 - 5z + 1)(2z - 1)^3(z - 1)^4z^4$
Degree 13	$(2z - 1)(5z^2 - 5z + 1)(z - 1)^5z^5$
Degree 13	$(2z - 1)(5z^2 - 5z + 1)^2(z - 1)^4z^4$
Degree 13	$(2z - 1)(29z^4 - 58z^3 + 40z^2 - 11z + 1)(z - 1)^4z^4$
Degree 13	$(2z - 1)^3(z - 1)^5z^5$
Degree 14	$(5z^2 - 5z + 1)(2z - 1)^2(z - 1)^5z^5$
Degree 15	$(5z^2 - 5z + 1)(2z - 1)^3(z - 1)^5z^5$
Degree 16	$(5z^2 - 5z + 1)(2z - 1)^2(z - 1)^6z^6$
Degree 17	$(5z^2 - 5z + 1)(2z - 1)^3(z - 1)^6z^6$
Degree 18	$(2z - 1)^2(5z^2 - 5z + 1)(z - 1)^6z^6$
Degree 19	$(5z^2 - 5z + 1)(2z - 1)^3(z - 1)^7z^7$
Degree 20	$(5z^2 - 5z + 1)(29z^4 - 58z^3 + 40z^2 - 11z + 1)(2z - 1)^2(z - 1)^6z^6$
Degree 21	$(5z^2 - 5z + 1)^2(2z - 1)^3(z - 1)^7z^7$
Degree 22	$(5z^2 - 5z + 1)(2z - 1)^4(z - 1)^8z^8$

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